

Entered on Docket  
May 30, 2007  
UNITED STATES BANKRUPTCY COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA  
GLORIA L. FRANKLIN, CLERK  
NORTHERN DISTRICT OF CALIFORNIA

FILED

MAY 29 2007

IN RE:  
Keravision, Inc.,

DEBTOR(S)

)  
) Case No. 01-41564  
) (Chapter 7)  
)  
)

BANKRUPTCY COURT  
OAKLAND, CALIFORNIA

ORDER DIRECTING PAYMENT  
OF FUNDS TO CREDITOR/CLAIMANT

On the application of NASD (Fed. ID# 53-0088710) (the "Claimant") a claimant in the captioned case for an order directing payment of funds held in the registry of the Court, the Court finds that the Claimant has noticed the United States Attorney as required by 28 U.S.C. § 2041 et. seq. and directs that all funds held in the registry of the court or paid in pursuant to 11 U.S.C. § 347 for the benefit of and waiting the request of the Claimant (in the amount of \$21,000.46) be paid to NASD c/o American Property Locators, Inc., 3855 S. Boulevard, Suite 200, Edmond, OK 73013.

Dated this 29th day of May, 2007.

Keshe Tchaitovsky  
United States Bankruptcy Judge

CC: Financial Administrator  
American Property Locators, Inc.  
Mr. J. Armstrong Duffield  
3855 S. Boulevard, Suite 200  
Edmond, OK 73013

**UNITED STATES BANKRUPTCY COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA**

**IN RE:  
Keravision, Inc.,**

)  
) **Case No. 01-41564**  
) **(Chapter 7)**  
)  
)  
)

**DEBTOR(S)**

**APPLICATION FOR ORDER DIRECTING PAYMENT  
OF FUNDS TO CREDITOR/CLAIMANT PURSUANT TO  
11 U.S.C. SECTION 347 AND 28 U.S.C. SECTIONS 2041 ET. SEQ.**

NASD (the "Claimant") a claimant in the captioned case respectfully requests as follows:

1. Claimant was a creditor of the Debtor and was due to receive and the trustee did, in fact, make a distribution from the estate to the Claimant in the amount of approximately \$21,000.46. The Claimant was not located and the funds of the Claimant were paid into the Court pursuant to 11 U.S.C. § 347. The Trustee's report listed the claimant's address as Finance Dept, 9509 Keywest Ave, Rockville, MD. The Claimant's current name, address, telephone number and contact is: NASD, 9509 Keywest Ave, Rockville, MD 20850, (240) 386-5328, Attn: Grace Daniell, Tax Director.

2. Pursuant to 11 U.S.C. § 347 and chapter 129 of title 28, United States Code, the Claimant requests that the Court issue an order directing payment to the Claimant and that payment be made in care of the party set forth below.

WHEREFORE, Claimant requests that the Court issue an order directing payment of all funds held by the Court for the Claimant in this case and for such further and other relief as is just and appropriate.

NASD  
(Fed. ID# 53-0088710 )

By: J. Armstrong Duffield  
J. Armstrong Duffield  
3855 S. Boulevard, Suite 200  
Edmond, OK 73013  
(405) 340-4900

1 LOIS I. BRADY (Bar No. 127596)  
2 Chapter 7 Trustee  
3 P.O. Box 12754  
4 Oakland, CA 94604  
(510) 452-6498  
(510) 452-6599 fax  
loisbrady@sbcglobal.net

**FILED**  
MAR - 1 2007  
UNITED STATES BANKRUPTCY COURT  
SAN FRANCISCO, CA

5 In Propria Persona

6 UNITED STATES BANKRUPTCY COURT  
7  
8 NORTHERN DISTRICT OF CALIFORNIA  
9

10 In re: ) Case No: 01-41564-T-7  
11 KERAVISION INC ) Chapter 7  
12 Debtor. ) NOTICE OF UNCLAIMED DIVIDENDS  
13

14 TO THE CLERK OF COURT, U. S. BANKRUPTCY COURT:

15 Pursuant to Federal Rule of Bankruptcy Procedure 3010, the Trustee in the above  
16 captioned case hereby turns over to the Court unclaimed dividends over 90 days old in the  
17 amount of \$59,658.67. The name(s) and address(es) of the claimant(s) entitled to the unclaimed  
18 dividend(s) are as follows:

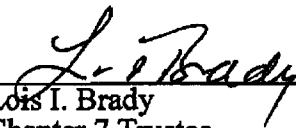
19 CLAIM #	CLAIMANT	DIVIDEND PAYMENTS
20 58	Sun Life of Canada 21 1 Sun Life Executive Park 22 Wellesley Hills MA 02481	6,757.55 ✓
23 21	Recall Northern California 24 PO Box 101184 25 Atlanta GA 30392-1184	1,297.93 ✓
26 59	Shred-It 27 1538 Gladding Court 28 Milpitas CA 95035	338.44 ✓
X 143	NASD Finance Dept 9509 Keywest Ave Rockville MD 20850	21,000.46 ✓
152	Kemper Insurance Co. C/O T Kellan Grant 225 2 Wacker Dr	3,987.01 ✓

Chicago IL 60606

68	Health Canada Sante Canada Hpb Bldg 7 Tunney's Pasture Ottawa On K1a 0l2 Canada	111.97 ✓
39	Video Monitoring Services 330 W 42nd St New York NY 10036	304.14 ✓
159	Central Distribution Co. Pmb 552 13659 Victory Blvd Van Nuys CA 91408	3,359.18 ✓
196	Leonardo Del Mundo 32925 Monrovia St Union City CA 94587	1,263.45 ✓
175	RazorFish Inc. 101 Main Street #9 Cambridge, MA 02142-1519	7,838.08 ✓
208	Paul Karpecki OD 11115 W 109th St Overland Park KS 66210	4,711.43 ✓
316	Technology Distribution Systems 645 Dado St San Jose CA 95131	2,985.75 ✓
156	Mas Enterprises 7263 Spoonbill Lane Carlsbad CA 92009	3,359.18 ✓
211	Margaret Hand 31816 Robert Thousand Palms CA 92276	216.62 ✓
177	Heidi Paulsen 2451 Nw Norwood Pl Camas WA 98607	2,127.48 ✓

The total of the unclaimed dividends is \$59,658.67.

Dated: February 28, 2007

  
Lois I. Brady  
Chapter 7 Trustee

**LIMITED POWER OF ATTORNEY**

NASD, ("Principal") executes this Limited Power of Attorney with the intention that the attorney-in-fact named below shall be able to act in its place for the purposes and duration set forth below.

Principal appoints J. Armstrong Duffield of American Property Locators, Inc., 3855 South Boulevard, Suite 200, Edmond, OK 73013 to be its attorney-in-fact to act for it in its name and place, and in any capacity that Principal might act,

**Only to recover cash or cash equivalents which belong to the Principal  
in the amount of 21,000.46**

and may be paid to the Principal after compliance with procedures of applicable laws (the "Unclaimed Funds").

This Limited Power of Attorney shall become effective on the date written below, and shall remain effective, for one year from such date or until the Unclaimed Funds are claimed and remitted to Principal, whichever is sooner.

Principal's attorney-in-fact shall have all of the powers, discretions, elections, and authorities granted by law (including the endorsement of any instrument of payment on behalf of Principal) in connection with the claim, execution, acknowledgment, and delivery of any and all documents necessary or connected with claiming and recovering for Principal the Unclaimed Funds. Principal authorizes the use of a photocopy of this Limited Power of Attorney, for any purpose, in lieu of the original.

**PRINCIPAL:**

NASD

By: \_\_\_\_\_

*Grace E. Daniell*

Title: Tax Director

**PRINCIPAL'S ADDRESS:**

9509 Keywest Ave  
Rockville, MD 20850

Federal ID 53-0088710

**ACKNOWLEDGMENT**

STATE OF Maryland )

COUNTY OF Montgomery )

Before me, the undersigned a Notary Public, in and for said County and State on this 27<sup>th</sup> day of April, 2007, personally appeared Grace E. Daniell of NASD, as it's Tax Director, to me known to be the identical person who subscribed his/her name to the foregoing instrument and acknowledged to me that he/she executed the same as his/her free and voluntary act and deed of such corporation, for the purposes therein set forth.

In Witness Whereof, I have hereunto set my official signature and affixed my official seal the day and year first above written.

My Commission Expires: \_\_\_\_\_

11/1/11

Notary

*Sharon L. Thompson*

**Sharon L. Thompson, Notary Public**  
Montgomery County  
State of Maryland  
My Commission Expires 11/1/11

UNITED STATES BANKRUPTCY COURT  
NORTHERN DISTRICT OF CALIFORNIA

)  
) Case No01-41564  
)  
)  
Keravision, Inc. ) Chapter 7  
Debtor(s) )  
\_\_\_\_\_ )

**AFFIDAVIT OF SERVICE**

Notice is hereby given to the court that on (date) May 9, 2007 a copy of this application claiming funds in the amount of \$21,000.46, on behalf of (creditor/claimant)NASD, was mailed to the U.S. Attorney for the Northern District of California, 450 Golden Gate Avenue, P.O. Box 36055, San Francisco, CA 94102.

I certify under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

  
Signature of Petitioner

5/9/2007

J. Armstrong Duffield on  
behalf of NASD  
Type or Print Name of  
Petitioner

3855 S. Boulevard, Suite 200  
Edmond, OK 73013-5473  
Mailing Address

9509 Key West Avenue  
Rockville, MD  
20850-3329  
tel 240 386 5328  
fax 240 386 5406  
grace.daniell@nasd.com



**Grace E. Daniell**  
Tax Director

**Certificate of Authority to Act for  
NASD**

I, the undersigned, Eileen M. Famiglietti, as SVP & Corporate Controller of  
NAME TITLE  
NASD, do hereby certify that Grace Daniell, Tax Director of NASD, has the authority to  
act on behalf of NASD in all matters for NASD, not to exceed \$21,000.46.

IN WITNESS HEREOF, I have hereunto signed my name this 8<sup>th</sup> day of May,  
2007.

NASD  
Eileen M. Famiglietti

Corporate seal

N/A

**Notary Statement**

**ACKNOWLEDGMENT**

STATE OF Maryland  
COUNTY OF Montgomery

Before me, the undersigned a Notary Public, in and for said County and State on this 8<sup>th</sup> day of  
May, 2007, personally appeared Eileen M. Famiglietti to me known to be the identical  
person who subscribed his/her name to the foregoing instrument, as its SVP & Corp. Controller and acknowledged to me  
that he/she executed the same as his/her free and voluntary act and deed of such corporation, for the purposes therein  
set forth.

In Witness Whereof, I have hereunto set my official signature and affixed my official seal the day and year first  
above written.

My Commission Expires:

1/1/11

Notary

Sharon L. Thompson  
Sharon L. Thompson, Notary Public  
Montgomery County  
State of Maryland  
My Commission Expires 1/1/11



	<a href="#">Regulatory Consolidation</a>	<a href="#">Rules &amp; Regulation</a>	<a href="#">Regulatory Enforcement</a>	<a href="#">Education &amp; Programs</a>	<a href="#">Regulatory Systems</a>	<a href="#">Arbitration &amp; Mediation</a>	<a href="#">Investor Information</a>
<a href="#">About NASD</a>   <a href="#">Press Room</a>   <a href="#">Registration &amp; Qualifications</a>   <a href="#">Regulatory Services</a>   <a href="#">Resources</a>   <a href="#">Career Opportunities</a>   <a href="#">Site Map</a>   <a href="#">Contact Us</a>							

**Top Search Phrases**

broker check  
 nasd rules  
 web crd  
 variable annuities  
 dispute resolution  
 class shares  
 first command  
 sec rule 15c3-1  
 equity indexed annuities  
 nasd series

**Top Search Keywords**

rules  
 broker  
 capital  
 mutual  
 arbitration  
 financial  
 crd  
 check  
 fund  
 series

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Eileen M. Famiglietti

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Searched for 'Eileen M. Famiglietti' Results 1 - 10 of about 11. Search took 0.12 seconds.

1 2 [Next>](#)[Sort by date](#) / [Sort by relevance](#)**NASD - About NASD - Officers**

... Eileen M. Famiglietti Senior Vice President and Corporate Controller. David M. FitzGerald Senior Vice President and Deputy Chief Hearing Officer. ...  
[www.nasd.com/AboutNASD/CorporateInformation/NASDLeadership/Officers/](http://www.nasd.com/AboutNASD/CorporateInformation/NASDLeadership/Officers/) - 39k - Cached

**NASD - Rules & Regulation - Officers**

... Eileen M. Famiglietti Senior Vice President and Corporate Controller. David M. FitzGerald Senior Vice President and Deputy Chief Hearing Officer. ...  
[www.nasd.com/RulesRegulation/NoticestoMembers/2004NoticestoMembers/NASDW\\_012757](http://www.nasd.com/RulesRegulation/NoticestoMembers/2004NoticestoMembers/NASDW_012757) - 64k - Cached

**NASD - Rules & Regulation - Officers**

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[www.nasd.com/RulesRegulation/NoticestoMembers/1997NoticestoMembers/NASDW\\_012757](http://www.nasd.com/RulesRegulation/NoticestoMembers/1997NoticestoMembers/NASDW_012757) - 64k - Cached

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[www.nasd.com/RulesRegulation/NoticestoMembers/2002NoticestoMembers/NASDW\\_012757](http://www.nasd.com/RulesRegulation/NoticestoMembers/2002NoticestoMembers/NASDW_012757) - 64k - Cached

**[PDF] financials 2000**

Page 1. NASD financials 2000 Page 2. Page 3. NASD financials 2000 THE NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC. c ONSOLIDATED ...  
[www.nasd.com/web/groups/corp\\_comm/documents/home\\_page/nasdw\\_009758.pdf](http://www.nasd.com/web/groups/corp_comm/documents/home_page/nasdw_009758.pdf) - 2004-10-24 - Text Version

**[PDF] For the securities industry as for our nation, a year that ...**

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**[PDF] NASD Annual Report-7.29.03**

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**[PDF] NASD Year in Review and Annual Financial Report 2006**

Page 1. moving into a new era of regulation Year in Review and Annual Financial Report 2006 Page 2. Letter from the Chairman and CEO 2 ...  
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**[PDF] 2003 ANNUAL FINANCIAL REPORT**

Page 1. 2003 ANNUAL FINANCIAL REPORT Page 2. This Annual Financial Report and the information contained herein may not be used or ...

# American Property Locators, Inc.

3855 S. Boulevard, Suite 200  
Edmond, OK 73013  
www.apl-inc.com

Fax (405) 340-5968  
duffield@apl-inc.com

(800) 730-4343  
direct (405) 341-9001

May 9, 2007

U.S. Bankruptcy Court  
NORTHERN DISTRICT OF CALIFORNIA  
Financial Services Section  
Office of the Clerk  
235 Pine Street  
San Francisco, CA 94104

**VIA PRIORITY MAIL**

Attn: Toni Taylor

Re: NORTHERN DISTRICT OF CALIFORNIA  
APPLICATION FOR ORDER DIRECTING  
PAYMENT OF FUNDS TO CREDITOR/CLAIMANT

Dear Ms. Taylor:

Enclosed is an Application for Order Directing Payment of Funds to Creditor/Claimant relating to the following matter:

Case No:	01-41564
Debtor:	Keravision, Inc.
Creditor/Claimant:	NASD
Amount:	\$21,000.46

Also, enclosed is a copy of the Trustee's Notice of Unclaimed Dividends. Please forward a file stamped copy of the application and order along with the payment for this entity to the undersigned at the above address. Thank you for your assistance in this matter.

Sincerely,

  
J. Armstrong Duffield

JAD  
Enclosures